



# Structured Learning

Hidden Markov Support Vector Machines for NLP tasks

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# Outline

- Structured Learning
- SVM-HMM
- Task modeling examples
  - Part of Speech tagging
  - Named Entity Recognition and Classification



# Structured Learning

- Learning algorithms so far in the course
  - Classification of “simple” outputs
- Structured Learning
  - Classification of “complex” outputs
  - Such as *sequences* or *trees*
- In general,
  - Learn dependencies between *arbitrary* input and *arbitrary* outputs



# A Structured Learning framework



- Learn a  $\mathbf{w}$ -parameterized function  $f(\mathbf{x}) = \arg \max_{\mathbf{y} \in \mathcal{Y}} F(\mathbf{x}, \mathbf{y}; \mathbf{w})$
- Where  $F(\mathbf{x}, \mathbf{y}; \mathbf{w})$  is linear in some combined feature representation of inputs and outputs  $\Phi$   
$$F(\mathbf{x}, \mathbf{y}; \mathbf{w}) = \langle \mathbf{w}, \Phi(\mathbf{x}, \mathbf{y}) \rangle$$
- In particular,  $\Phi(\mathbf{x}, \mathbf{y})$  is responsible of extracting features jointly from input-output pairs
  - Dependency between  $\mathbf{x}$  and  $\mathbf{y}$  can be fully explained only by jointly looking at some property of  $\mathbf{x}$  and  $\mathbf{y}$
  - Even more true if  $\mathbf{y}$  has an internal structure

# SVM-HMM

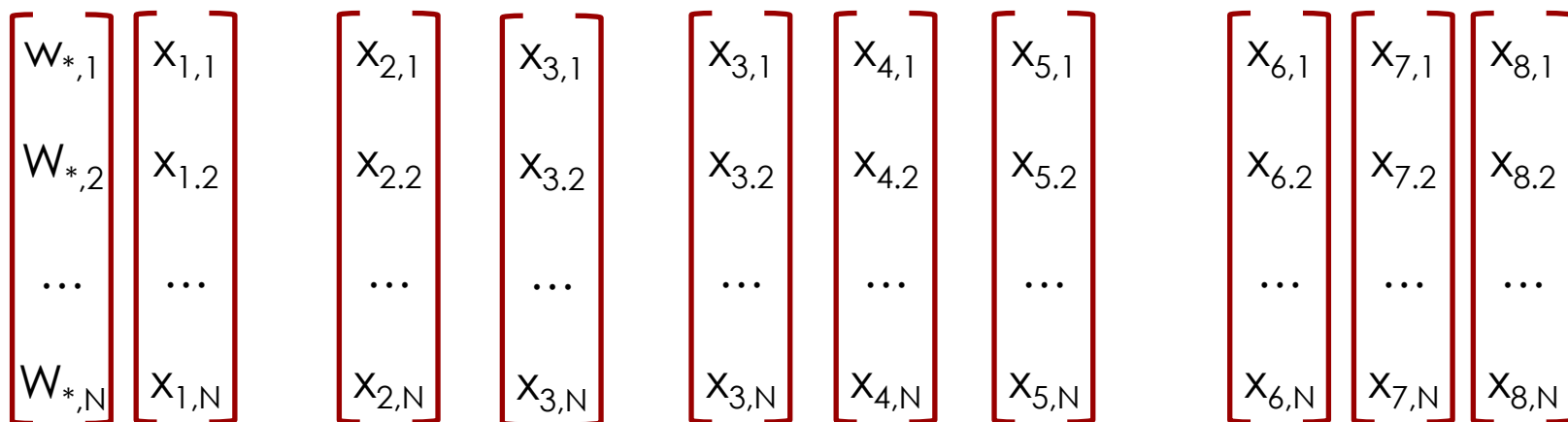


- Learn a function whose
  - Input is a **sequence** of observation
  - Output is a **sequence** of labels
- Sequence related problems in NLP
  - Part-Of-Speech tagging
  - Named-Entity Recognition and Classification
  - Chunking
  - Semantic Role Labeling
- Why?
  - Generative models
  - Discriminative models

# SVM-HMM: the idea

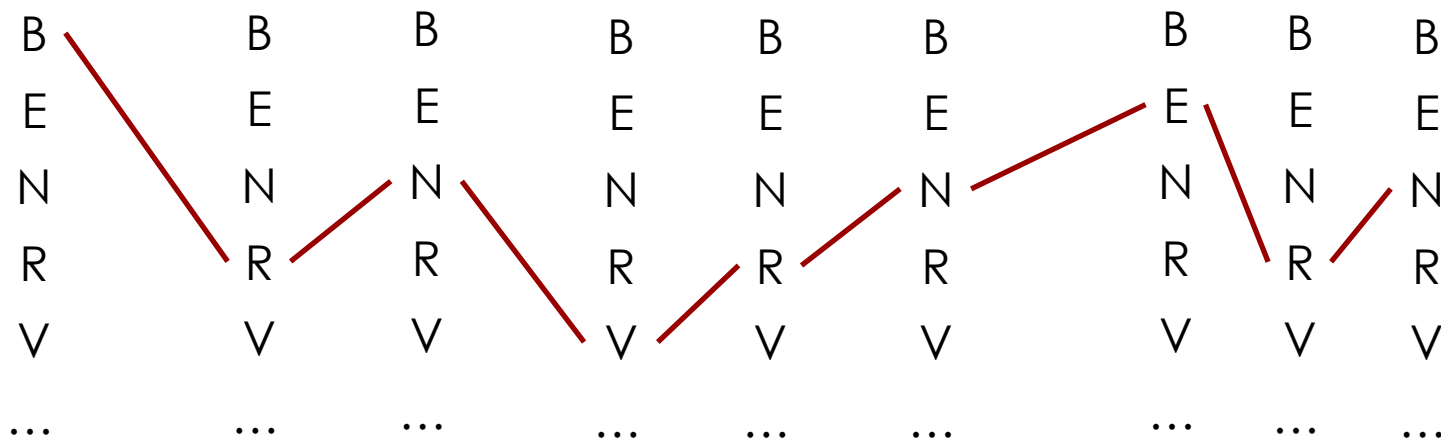


$\mathcal{X}$



Yesterday a robber killed a guardian with a knife .

$\mathcal{Y}$



# SVM-HMM in Structured Learning

- Learn a discriminative model isomorphic to a  $k$ -order Hidden Markov Model

Input: feature vectors  $\mathbf{x} = (x_1 \dots x_l) \in \mathcal{X}$

Output: label sequence  $\mathbf{y} = (y_1 \dots y_l) \in \mathcal{Y}$

- In SVM-HMM  $\Phi(\mathbf{x}, \mathbf{y})$  represents
  - interaction between observations and classes
    - **Emissions** in HMM terminology
  - interaction between adjacent classes
    - **Transitions** in HMM terminology

# SVM-HMM classification



$$y = \arg \max_y \left\{ \sum_{i=1 \dots l} \left[ \sum_{j=1 \dots k} (x_i \cdot w_{y_{i-j} \dots y_i}) + \Phi_{tr}(y_{i-j}, \dots, y_i) \cdot w_{tr} \right] \right\}$$

Given a story of length  $k$

Emissions

Transitions

- The Cutting-Plane algorithm is applied to estimate  $\mathbf{w}$
- The *Viterbi* algorithm is used to output the best sequence explaining an observation



# Sequence Labeling with SVM-HMM



- SVM-HMM represents both
  - Generative models (Hidden Markov Model)
  - Discriminative models (Support Vector Machine)
- In NLP
  - Treat a sentence as a sequence
  - Ideal to take into account contextual information
  - To find the best solution for the entire sequence
- How to model NLP related problems?
  - Two examples: POS Tagging and NERC

# Part-of-Speech tagging



- Task: Assign to each token in a sentence the correct grammatical category
- POS tagging can be modeled as a sequential tagging task
  - Linguistic information can be acquired by annotated examples
- We could classify each word without contextual information, i.e. ignoring other words in the sentence
  - It can work for not ambiguous cases: “the” “often”
  - ... but the context is *crucial* to classify a word like “run”

# Modeling



- An HMM model:
  - The sentence is a **SEQUENCE**
  - Words (represented through a set of features) are our **OBSERVATIONS**
  - HMM **STATES** are mapped into POS tags
  - The **transition probability** is estimated from the training set
  - SVM classifier are used to estimate the **emission probability**
  - The solution is estimated by applying the **Viterbi** algorithm

# Feature Engineering



- The better feature representation the better will be the performance
  - Feature engineering (for each token)
    - Contextual ( $k$  words before and after the target word using *Padding*)
    - The word *prefix* and *suffix*
    - Boolean indicators of: *IsTheFirstWord*, *ContainsNumbers*, *StartsWithCapital*, *ContainsSymbols*, *isAllNumbers*
    - Dictionary Information, e.g. morphology (if available)
  - Feature post-processing
    - Normalization
    - Do not mix features!
  - E.g. *leri Giuseppe Castellucci era al parco.*

BEGIN\_1 BEGIN\_0 le ri leri FirstWord NotContainsNumbers StartsCapital NotContainsSymbol NotAllNumbers  
BEGIN\_0 leri Gi pe Giuseppe NotFirstWord NotContainsNumbers StartsCapital NotContainSymbol NotAllNumbers

.  
. .  
.

# Results

Setup	System	TA	UWTA
Open	RevNLT	<b>97.68</b>	95.21
	Best System1	97.03	<b>95.30</b>
Close	RevNLT	<b>96.93</b>	93.39
	Best System2	96.91	<b>93.81</b>

- Evaluation
  - Token based accuracy
- Italian performances on the EVALITA 2009 task
  - EVALITA is a campaign to evaluate systems on the Italian language
- Experimental setup
  - Training dataset: 108874 words in 3719 sentences
  - Development dataset: 5021 words in 147 sentences
  - Test dataset: 5066 words in 147 sentences
  - In development and test 17% of unknown words
  - 37 classes
  - Open and Close evaluation refer to the possibility to use external resources

# Named Entity Recognition and Classification



- Task: Find and classify entities in a sentence
  - Classify w.r.t. predefined classes, as PERSON, LOCATION, ORGANIZATION, etc...
- We can model it as a labeling task
  - Linguistic information can be acquired by annotated examples
- Again, assign to each token in a sentence a specific class

# Modeling



- An HMM model:
  - The sentence is a **SEQUENCE**
  - Words (represented through a set of features) are **OBSERVATIONS**
  - HMM **STATES** are mapped into Named Entities, e.g. PER,LOC,X
  - **Transition probabilities** estimated from the training set
  - SVM classifiers used to estimate the **emission probability**
  - The solution computed by the **Viterbi** algorithm

# Multi-word entities



- Named Entities are also multi-word expressions
  - Yesterday **Giuseppe Castellucci** was happy.
- How to manage multi-word expression in SVM-HMM?
  - First solution is to label each token with a class
    - Yesterday/X Giuseppe/PER Castellucci/PER was/X happy/X /.
- What if an entity directly follows an entity of the same class?
  - Ideas?



# IOB notation



- Discriminate from the **B**egin, the **I**nside or the **O**utside of an entity for each class
  - Yesterday/O Giuseppe/B-PER Castellucci/I-PER was/O happy/O ./O
- If entities are consecutive
  - discriminate with B-\* tags
- Two possible approaches
  - Cascade of two classifiers (locate entities and then classify w.r.t. classes)
  - A single classifier (jointly classifies the boundaries and the classes)

# Feature Engineering



- Same as Part Of Speech tagging + the Part-Of-Speech of a token
  - For each token,
    - Contextual (k words before and after the target word)
    - The word prefix and suffix
    - Boolean indicators of: IsTheFirstWord, ContainsNumbers, StartsWithCapital, ContainsSymbols, isAllNumbers
    - Dictionary Information, e.g. morphology information
    - Part-Of-Speech
  - Again, feature post-processing
    - Normalization
    - Do not mix features!

# Results

- Evaluation
  - Entity-based Precision, Recall and F1
- Experimental setup
  - Evalita 2009 NER task
  - Training dataset: 11410 entities in 11227 sentences
  - Test dataset: 4966 entities in 4136 sentences
  - 4 classes: Person, Location, Organization and GeoPoliticalEntity
- Accuracy:  $\approx 76$  F1. Best in Evalita  $\approx 82$  F1



# How to use SVM<sup>HMM</sup>



- Download:
  - [http://download.joachims.org/svm\\_hmm/current/svm\\_hmm.tar.gz](http://download.joachims.org/svm_hmm/current/svm_hmm.tar.gz)
- Compile (`make`)
- Learn: `svm_hmm_learn -c <C> --t <ORDER_T> -e 0.1 -e 1`  
`training_input.dat modelfile.dat`
  - `-c`: Typical SVM parameter C trading-off slack vs. magnitude of the weight-vector (1, 10, 100,  $10^3$ ,  $10^4$  depends by the training set size).
  - `-t`: Order of dependencies of transitions in HMM (1,2 o 3)
- Classify: `svm_hmm_classify test_input.dat modelfile.dat classify.tags`

# SVM<sup>HMM</sup> input

class Sent\_id

Feature vector

Comment

...

4 qid:1 1:1 2:1 51:1 247:1 2675:1 # four

12 qid:1 58:1 84:1 197:1 250:1 433:1 1145:1 2677:1 # score

3 qid:1 8:1 83:1 88:1 202:1 363:1 364:1 438:1 1147:1 # and

4 qid:1 16:1 47:1 87:1 135:1 197:1 365:1 366:1 # seven

15 qid:1 30:1 49:1 142:1 197:1 202:1 387:1 # years

8 qid:1 39:1 83:1 202:1 267:1 392:1 # ago

20 qid:1 83:1 87:1 247:1 269:1 2675:1 2676:1 # our

....

21 qid:2 5:1 83:1 576:1 923:1 1379:1 1469:1 # now

19 qid:2 23:1 84:1 87:1 577:1 926:1 1383:1 1470:1 # we

30 qid:2 26:1 83:1 84:1 88:1 433:1 578:1 627:1 # are

29 qid:2 7:1 8:1 9:1 87:1 88:1 438:1 628:1 1077:1 3377:1 # engaged

8 qid:2 15:1 16:1 17:1 23:1 47:1 185:1 1082:1 3381:1 # in

...

8 qid:3 23:1 47:1 48:1 87:1 219:1 1621:1 # on

7 qid:3 3:1 26:1 49:1 50:1 459:1 # a

9 qid:3 5:1 197:1 217:1 460:1 519:1 1535:1 1536:1 1537:1 # great

12 qid:3 8:1 109:1 202:1 219:1 522:1 531:1 1538:1 1539:1 1540:1 # battlefield

Sparse notation



# References

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